

# Life Cycle of a Trade



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# Life Cycle of a Trade: Online Simulation

Attendees will learn using Delta Partners' automated online simulations  
The only Financial Market Operations Simulations currently available  
***At Delta Partners attendees learn by doing!***

- Our online simulation allows participants to follow the life cycle of any financial transactions from the point of first matching to final cash and securities settlement.
- Participants are grouped into teams to represent different banks and funds to match, process and cash settle each transaction.
- This includes send matched trades to a central clearinghouse, or multiple CSD's.
- We use Simulations designed for the Life Cycle of:
  - A Securities Trade
  - Derivatives Trade (both Exchange Trade and OTC)
  - FX
  - Loans
  - We can create a Sim for any operational flow



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# Life Cycle of a Trade: Online Simulation

## Examples of simulation screens:

- Delegates learn by:
  - Short interactive lectures
  - Learning by participating in the Life Cycle Simulations
  - Undertaking frequent online quizzes
  - Use of a Chat Room in the LMS to communicate with others on the course

### LMS – Trade Management Console

Bank D System Return to LMS Page

**Chat page** Click here to open the chat system to communicate with other firms. **Instructions to ClearStream** Click here to send instructions to ClearStream

**Trading Book** Click here to open your trading book showing the trade blotter, cash and depo accounts. **Instructions to DTCC** Click here to send instructions to DTCC

**Outbound confirms** Click here to send confirms to your counterparty. **Instructions to Euroclear** Click here to send instructions to Euroclear

**Incoming confirms** Click here to see incoming confirms from your counterparties.

### Bank Trade Blotter

Ticket No.	Buy Sell	Amount	Security	Price	Curr	Cash Amount	Value Date	C'party	Confirm	Settled	Settlement Instructions
1	S	200,000	VODL	235.1	GBP	470,200	3/23/2018	A			Euroclear
2	B	84,000	F	58.01	USD	4,872,840	1/23/2018	F			DTCC
3	S	500,000	F	16.15	USD	8,075,000	1/23/2018	C			DTCC
4	S	225,000	FB	58.22	USD	13,099,500	1/23/2018	F			DTCC
5	B	157,000	BMWDE	83.72	EUR	13,144,040	1/23/2018	C			Clearstream
6	S	5,000,000	RBSL	233.3	GBP	11,665,000	1/23/2018	E			Euroclear

Trade Blotter | Cash Accounts | Depo Accounts | +

**Trade Confirmation (SWIFT) To Bank D**

2. Buy or Sell \*

3. Enter the number of shares / stock to be bought or sold \*  
Make sure you enter the correct number to avoid trade rejection.

4. Enter the security code for the security for this trade confirmation \*

5. Enter the price of the transaction \*  
The value must be a number

**Securities Life Cycle**

This section looks at the process steps that take place for a securities transactions after trade capture and before Asset Servicing.

1. Place the following Post Trade Capture, Securities Operations functions in the time order in which you think they should happen. \*

- Trade Validation
- Settlement or Fail
- Cash and Depo Checks
- Trade Reporting
- Custody
- Settlement instructions sent to Custodian
- Instructions matched at CSD
- Confirmation sent to counterparty and matched
- Cash and Securities Reconciliation

- They learn about the full Life Cycle from Pre-trade to Reconciliation
- Suitable for New Starters, IT, Audit, Compliance or anyone with an Operations bias
- Contact us to find out more: [mark.doran@deltapartnersintl.com](mailto:mark.doran@deltapartnersintl.com) or +447940569603



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**Expert Training Programs**

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